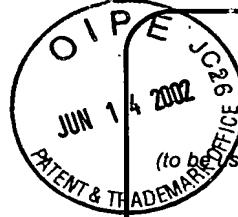


Please type a plus sign (+) inside this box →

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/039,849

Filing Date

October 19, 2001

First Named Inventor

Hino, Naoki

Group Art Unit

Examiner Name

Attorney Docket Number

16869P-034500US

ENCLOSURES (check all that apply)			
<input checked="" type="checkbox"/> Fee Transmittal Form	<input checked="" type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group	
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences	
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)	
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information	
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter	
<input checked="" type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):	
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	1. Declaration/Power of Attorney	
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	2. Copy Notice	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s)	3. Supplemental ADS	
<input type="checkbox"/> Response to Missing Parts/ Incomplete Application		4. Return Postcard	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53			
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account 20-1430.	
Pursuant to the Notice to File Missing Parts of Nonprovisional Application, dated March 7, 2002, the enclosures listed on this sheet are to be made of record in the above-identified case.			

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm and Individual name	Townsend and Townsend and Crew LLP Robert C. Colwell	
Signature		
Date	June 7, 2002	

CERTIFICATE OF MAILING

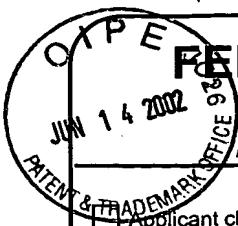
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date:

June 7, 2002

Typed or printed name	Margaret K. Stephan	
Signature		Date
	June 7, 2002	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.
PA 3228205 v1

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FEETRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

280

Complete If Known	
Application Number	10/039,849
Filing Date	October 19, 2001
First Named Inventor	Hino, Naoki
Examiner Name	
Group Art Unit	
Attorney Docket No	16869P-034500US

METHOD OF PAYMENT (check all that apply)

 Check Credit Card Money Order Other None
 Deposit Account:Deposit
Account
Number

20-1430

Deposit
Account
NameTownsend and Townsend and
Crew LLP

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) during the pendency of this application
 Charge fee(s) indicated below, except for the filing fee
 to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	Fee (\$)
101	201	740	370
106	206	330	165
107	207	510	255
108	208	740	370
114	214	160	80
SUBTOTAL (1)		(\$)	

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims		Fee from below	Fee Paid
	-20**	=		
Independent Claims				
Multiple Dependent				

Large Entity

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description
Fee Code	Fee Code	Fee (\$)	Fee (\$)	
103	203	18	9	Claims in excess of 20
102	202	84	42	Independent claims in excess of 3
104	204	280	140	Multiple dependent claim, if not paid
109	209	84	42	** Reissue independent claims over original patent
110	210	18	9	** Reissue claims in excess of 20 and over original patent
SUBTOTAL (2)		(\$)		

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES	Large Entity	Small Entity	Fee Description	Fee Paid
Fee Code	Fee Code	Fee (\$)	Fee (\$)	
105	205	130	65	Surcharge - late filing fee or oath
127	227	50	25	Surcharge - late provisional filing fee or cover sheet
139	139	130	130	Non-English specification
147	147	2,520	2,520	For filing a request for reexamination
112	112	920*	920*	Requesting publication of SIR prior to Examiner action
113	113	1,840*	1,840*	Requesting publication of SIR after Examiner action
115	115	110	55	Extension for reply within first month
116	116	400	200	Extension for reply within second month
117	117	920	460	Extension for reply within third month
118	118	1,440	720	Extension for reply within fourth month
128	128	1,960	980	Extension for reply within fifth month
119	119	320	160	Notice of Appeal
120	120	320	160	Filing a brief in support of an appeal
121	121	280	140	Request for oral hearing
138	138	1,510	1,510	Petition to institute a public use proceeding
140	140	110	55	Petition to revive – unavoidable
141	141	1,280	640	Petition to revive – unintentional
142	142	1,280	640	Utility issue fee (or reissue)
143	143	460	230	Design issue fee
144	144	620	310	Plant issue fee
122	122	130	130	Petitions to the Commissioner
123	123	50	50	Petitions related to provisional applications
126	126	180	180	Submission of Information Disclosure Stmt
581	581	40	40	Recording each patent assignment per property (times number of properties)
146	146	740	370	Filing a submission after final rejection (37 CFR § 1.129(a))
149	149	740	370	For each additional invention to be examined (37 CFR § 1.129(b))
179	179	740	370	Request for Continued Examination (RCE)
169	169	900	900	Request for expedited examination of a design application
Other fee (specify) _____				

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3)

(\$280)

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Robert C. Colwell	Registration No. (Attorney/Agent)	27,431	Telephone	650-326-2400
Signature	<i>Robert C. Colwell</i>			Date	June 7, 2002

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. PA 3228206 v1

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 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
 www.uspto.gov

HB

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/039,849	10/19/2001	Naoki Hino	16869P-034500US

20350
 TOWNSEND AND TOWNSEND AND CREW, LLP
 TWO EMBARCADERO CENTER
 EIGHTH FLOOR
 SAN FRANCISCO, CA 94111-3834



CONFIRMATION NO. 9309

FORMALITIES LETTER



OC000000007602028

Date Mailed: 03/07/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**FILED UNDER 37 CFR 1.53(b)*****Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/19/2002 MBIZUNES 00000029 201430 10039849

01 FC:105 130.00 CH